

UNITED STATES DISTRICT COURT  
DISTRICT OF MASSACHUSETTS  
EASTERN DIVISION

JOHN J. VAUGHN, GERALD A.  
KALBFLEISCH, MICHAEL HATHAWAY, and  
MYRTLE HATHAWAY,

Plaintiffs,

v.

PUTNAM INVESTMENT MANAGEMENT, LLC  
and PUTNAM RETAIL MANAGEMENT  
LIMITED PARTNERSHIP,

Defendants.

Civil Action No. 04-10988 (GAO)

**PLAINTIFFS' APPENDIX IN SUPPORT OF PLAINTIFFS' MOTION  
TO COMPEL PRODUCTION OF DOCUMENTS CONCERNING PUTNAM'S NON-  
MUTUAL FUND INSTITUTIONAL CLIENTS**